

Receipt

PATENT
Docket No.: 57909/428



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	:	Briles et al.)	Examiner:
)	N.M. Minnifield
Serial No.	:	09/298,523)	
Cnfrm. No.	:	2114)	Art Unit:
)	1645
Filed	:	April 23, 1999)	
For	:	PNEUMOCOCCAL SURFACE PROTEIN C (PspC),)	
		EPITOPIC REGIONS AND STRAIN SELECTION)	
		THEREOF, AND USES THEREFOR)	

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JUN 12 2003

TECH CENTER 1600/2900

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

Applicants hereby request a corrected filing receipt for the above-identified application. On the enclosed copy of the official filing receipt, there are errors in the both the Assignment for Published Patent Application data and in the Domestic Priority data.

The Assignment information reads "Nixon Peabody LLP" but should read "The UAB Research Foundation". A copy of the notice of recordation of assignment document is enclosed herewith.

In addition, the Domestic Priority data is incomplete. The Domestic Priority data should read "This appln is a CIP of 08/714,741 09/16/1996 PAT 6,500,613, which is a CIP of 08/529,055 09/15/1995. This appln also claims benefit from 60/082,728 04/23/1998". A copy of page 2 of the substitute specification for the continuing prosecution application as filed is enclosed herewith. (See: related applications/patents paragraph.)

Since Applicants are not responsible for this error, no fee is enclosed.

Respectfully submitted,

Dated: May 28, 2003

Alice Y. Choi
Alice Y. Choi
Registration No. 45,758

Nixon Peabody LLP
Clinton Square, P.O. Box 31051
Rochester, New York 14603-1051
Telephone: (585) 263-1508
Facsimile: (585) 263-1600

Certificat of Mailing - 37 CFR 1.8(a)	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed :	
Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450, on the date below.	
<u>May 28, 2003</u>	<u>Ruth R. Smith</u>
Date	Ruth R. Smith



UNITED STATES
PATENT AND
TRADEMARK OFFICE



57909/420

Commissioner for Patents
Washington, DC 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/298,523	04/23/1999	1645	2060	454312-3140	50	27	1

CONFIRMATION NO. 2114

Michael L Goldman
NIXON PEABODY LLP
Clinton Square
P O Box 31051
Rochester, NY 14603

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CORRECTED FILING RECEIPT



OC000000009252455

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Date Mailed: 12/17/2002

Receipt is acknowledged of a CPA in this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

DAVID E. BRILES, BIRMINGHAM, AL;
SUSAN K. HOLLINGSHEAD, BIRMINGHAM, AL;
ALEXIS BROOKS-WALTER, BIRMINGHAM, AL;

Assignment For Published Patent Application

NIXON PEABODY LLP

Domestic Priority data as claimed by applicant

☒ This appn claims benefit of 60/082,728 04/23/1998

Foreign Applications

If Required, Foreign Filing License Granted: 05/12/1999

CPA filed on: 11/20/2002

Projected Publication Date: 03/27/2003

Non-Publication Request: No

Early Publication Request: No

The UAB Research Foundation

This application is

CIP of 08/714,741

09/16/1996 PAT. 6,500,613

which is a CIP of

08/529,055

09/15/1995

and

Title

PNEUMOCOCCAL SURFACE PROTEIN C (PSPC), EPITOPIC REGIONS AND STRAIN
SELECTION THEREOF, AND USES THEREFOR

Preliminary Class

424

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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JULY 21, 2001

PTAS

FROMMER LAWRENCE & HAUG LLP
WILLIAM S. FROMMER, ESQ.
745 FIFTH AVENUE
NEW YORK, NY 10151



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NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/30/2001

REEL/FRAME: 011765/0823
NUMBER OF PAGES: 4

BRIEF: CORRECTED ASSIGNMENT TO CORRECT NAME AND ADDRESS OF ASSIGNEE, PREVIOUSLY RECORDED AT REEL/FRAME: 9920/0290. ASSIGNOR ASSIGNS ENTIRE INTEREST.

ASSIGNOR:
BRILES, DAVID E.

DOC DATE: 04/23/1999

ASSIGNOR:
HOLLINGSHEAD, SUSAN K.

DOC DATE: 04/23/1999

ASSIGNOR:
BROOKS-WALTER, ALEXIS

DOC DATE: 04/23/1999

ASSIGNEE:
UAB RESEARCH FOUNDATION, THE
701 SOUTH 20TH STREET
SUITE 1120G
BIRMINGHAM, ALABAMA 35294-01111

SERIAL NUMBER: 09298523
PATENT NUMBER:

FILING DATE: 04/23/1999
ISSUE DATE:

2001 JUL 25 A 10 33
FROMMER LAWRENCE & HAUG, LLP



TITLE OF THE INVENTION

PNEUMOCOCCAL SURFACE PROTEIN C (PspC), EPITOPIC REGIONS AND STRAIN SELECTION THEREOF, AND USES THEREFOR

STATEMENT OF GOVERNMENT SUPPORT

[0001] This work was supported in part by National Institute of Health Grants AI21548 and HL58418.

RELATED APPLICATIONS/PATENTS

[0002] This application is a continuation-in-part ("CIP") of U.S. application Serial No. 08/714,741, filed September 16, 1996, (allowed), which is a CIP of U.S. application Serial No. 08/529,055, filed September 15, 1995. This application is also based upon and claims priority from U.S. Provisional application Serial No. 60/082,728, filed April 23, 1998.

[0003] Reference also is made to: Briles et al., "Strain Selection of Pneumococcal Surface Proteins," U.S. application Serial No. 08/710,749, filed September 20, 1996, now U.S. Patent No. 5,955,089; PCT applications PCT/US96/14819, filed September 16, 1996 and WO 97/09994, published March 20, 1997; Briles et al. "Oral Administration ...," U.S. application Serial No. 08/482,981, filed June 7, 1995, now U.S. Patent No. 6,232,116, U.S. application Serial No. 08/458,399, filed June 2, 1995, now U.S. Patent No. 6,231,870 and U.S. application Serial No. 08/657,751, filed May 30, 1996, now U.S. Patent No. 6,004,802; "Mucosal Administration ...," Briles et al., U.S. application Serial No. 08/446,201, filed May 19, 1995, now U.S. Patent No. 6,042,832 (filed as a CIP of USSN 08/246,636, filed May 20, 1994, now U.S. Patent No. 5,965,141) and Briles et al., U.S. application Serial No. 08/312,949, filed September 30, 1994, now U.S. Patent No. 6,027,734; Briles et al., "Epitopic Regions of Pneumococcal Surface Protein A," U.S. application Serial No. 08/319,795, filed May 20, 1994 now U.S. Patent No. 5,980,909; Briles et al., "Structural Gene of Pneumococcal Protein", U.S. application Serial No. 08/467,852, filed June 6, 1995, now U.S. Patent No. 5,856,170 (filed as a cont. of U.S. application Serial No. 08/247,491, filed May 23, 1994, now U.S. Patent No. 5,965,400), U.S. application Serial No. 08/072,070, filed June 3, 1993, now U.S. Patent No. 5,476,929, U.S. application Serial No. 08/469,434, filed June 6, 1995, now U.S. Patent No. 5,753,463 and U.S. application Serial No. 08/214,164, filed March 14, 1994, now U.S. Patent No. 5,728,387; Briles et al., "Truncated PspA ...," U.S. application Serial No. 08/214,222, filed March 17, 1994, now

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Bib Data Sheet

CONFIRMATION NO. 2114

SERIAL NUMBER 09/298,523	FILING DATE 04/23/1999 RULE	CLASS 424	GROUP ART UNIT 1645	ATTORNEY DOCKET NO. 454312-3140
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APPLICANTS
 DAVID E. BRILES, BIRMINGHAM, AL;
 SUSAN K. HOLLINGSHEAD, BIRMINGHAM, AL;
 ALEXIS BROOKS-WALTER, BIRMINGHAM, AL;

**** CONTINUING DATA *******
 This application is a CIP of 08/714,741 09/16/1996 PAT 6,500,613
 which is a CIP of 08/529,055 09/15/1995
 This application 09/298,523
 claims benefit of 60/082,728 04/23/1998

**** FOREIGN APPLICATIONS *******

IF REQUIRED, FOREIGN FILING LICENSE GRANTED
**** 05/12/1999**

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY AL	SHEETS DRAWING 50	TOTAL CLAIMS 27	INDEPENDENT CLAIMS 1
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials		

ADDRESS
 Michael L Goldman
 NIXON PEABODY LLP
 Clinton Square
 P O Box 31051
 Rochester, NY 14603

TITLE
 PNEUMOCOCCAL SURFACE PROTEIN C (PSPC), EPITOPIC REGIONS AND STRAIN SELECTION THEREOF, AND USES THEREFOR

FILING FEE RECEIVED 2060	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
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